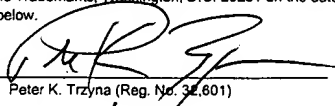




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Signed: 
Peter K. Trzyna (Reg. No. 34,601)

Date: 15 Sept 2000

PATENT

Paper No.

File No. NewMrktP98-1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors	:	Anthony F. Herbst and Wayne F. Perg
Serial No.	:	09/197,908
Filed	:	November 23, 1998
For	:	DIGITAL COMPUTER SYSTEM AND METHODS FOR MANAGING AN AUCTION MARKET FOR PREFERRED-RETURN SECURITIES
Group Art Unit	:	2761
Examiner	:	Retta Yehdega

The Commissioner of Patents and Trademarks
Washington, D.C. 20231

RESPONSE

S I R :

In response to the Office Action mailed 15 June 2000, in the above-referenced patent application, please enter the enclosed and reconsider the application in view thereof and the remarks set forth below.

I. REMARKS

The Examiner is requested to reconsider the application in view of the enclosed and the following remarks.

A. Paragraphs of Objections and/or Rejections

1. Paragraph 1 of the Office Action

In paragraph 1 of the Office Action, the Examiner contends that the response to papers filed on April 3, 2000.

The contention is respectfully traversed. The papers were mailed on March 29, 2000, as shown on the mailing certificate on the face of the papers.

2. Paragraph 2 of the Office Action

In paragraph 2 of the Office Action, the Examiner has rejected claims 1, 16-20 pursuant to 35 U.S.C. Sec. 112, second paragraph.

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